

I.3 Boundary identification of two manifolds M_1, M_2 with $f : \partial M_1 \xrightarrow{\cong} \partial M_2$

Fact Let M be a topological (or C^∞) manifold with $\partial M \neq \emptyset$. Then there exists an open neighborhood U of ∂M such that $U \cong \partial M \times [0, 1)$ with

$$h(x, 0) = x, \quad x \in \partial M.$$

U is called a collar.

The idea of proof

Use local collar and splice together using Partition of Unity.

(See Vick(homology theory) for topological case and Milnor(h -cobordism) for C^∞ case.)

Let $(X, A) = (M_1, \partial M_1)$ (a collared pair), $Y = M_2$ and let $f : \partial M_1 \rightarrow \partial M_2$ be a homeomorphism. Then we obtain a manifold $W = M_1 \cup_f M_2$ identifying the boundaries of M_1 and M_2 via f .

Examples

1.(Sphere)

Let $f : S^{n-1} \rightarrow S^{n-1}$ be a homeomorphism.

Then $W = D^n \cup_f D^n \cong S^n$ (homeomorphism). But, not diffeomorphism, in general, for C^∞ -category.

증명 Let $\bar{f} : D^n \xrightarrow{\cong} D^n$ be an extension of f .

(Such extension always exists, e.g., a "radial extension".)

$$\begin{array}{ccc} D^n \amalg D^n & \xrightarrow{\bar{f} \amalg id.} & D^n \amalg D^n & & x, f(x) & \longrightarrow & f(x), f(x) \\ \downarrow p & & \downarrow p & & \downarrow & & \downarrow \\ D^n \cup_f D^n & \xrightarrow{\dots} & D^n \cup_{id.} D^n = S^n & & x \sim f(x) & & f(x) \sim f(x) \end{array}$$

왼쪽의 그림에서 점선의 map은 오른쪽 그림과 같이 $D^n \cup_f D^n$ 의 $x \sim f(x), x \in \partial D^n (= S^{n-1})$ 가 $D^n \cup_{id.} D^n$ 의 $f(x) \sim f(x)$ 로 보내지므로 잘 정의된다. 따라서 자명한 continuous, one-to-one 그리고 onto조건이 더해져서 homeomorphism이 된다.

□

Exactly same argument shows the following.

Theorem A

Given M_i with $\partial M_i \neq \emptyset$, if $f, g : \partial M_1 \xrightarrow{\cong} \partial M_2$ such that $g^{-1} \circ f : \partial M_1 \rightarrow \partial M_1$ can be extended to a homeomorphism $M_1 \rightarrow M_1$, then $M_1 \cup_f M_2 \cong M_1 \cup_g M_2$.

증명

$$\begin{array}{ccc}
 M_1 \amalg \overline{M_2} \xrightarrow{g^{-1} \circ f} M_1 \amalg M_2 & & x, f(x) \longrightarrow g^{-1} \circ f(x), f(x) \\
 \downarrow p & & \downarrow & & \downarrow \\
 M_1 \cup_f M_2 \xrightarrow{\phi} M_1 \cup_g M_2 & & x \sim f(x) & & f(x) \sim f(x)
 \end{array}$$

왼쪽의 그림에서 점선 ϕ 는 오른쪽 그림에서 보듯이 위와 같은 이유로 잘 정의된다. 또한 $f^{-1} \circ g = (g^{-1} \circ f)^{-1}$ 이므로 extension이 여전히 유효하고 같은 방법으로 $f^{-1} \circ g = (g^{-1} \circ f)^{-1}$ 인 $\psi = \phi^{-1}$ 를 얻을 수 있다.

□

2.(Lens space)

Let $M_i = D^2 \times S^1$ with $\partial M_i = S^1 \times S^1 = T^2$. Consider $f : T^2 \xrightarrow{\cong} T^2$ and what $M_1 \cup_f M_2$ is.

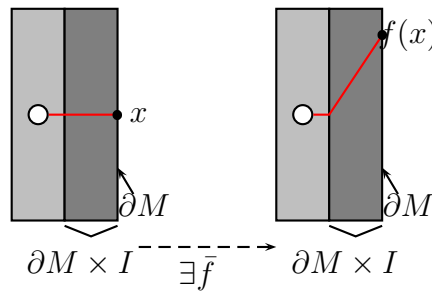
Theorem B

Let M_i with $\partial M_i \neq \emptyset$ be manifolds and $f, g : \partial M_1 \xrightarrow{\cong} \partial M_2$ be homeomorphisms isotopic to each other. Then $M_1 \cup_f M_2 \cong M_1 \cup_g M_2$.

증명 By Theorem A, it suffices to show the following statement :

claim $f : \partial M \rightarrow \partial M$ isotopic to id .. Then f can be extended to a homeomorphism $\bar{f} : M \rightarrow M$.

Proof of claim



such that $\bar{f}(x, t) = (f_t(x), t)$ on a collar neighborhood $U \cong M \times [0, 1)$ and define $\bar{f} = id.$ on the complement of U .

□

Question : What are homeomorphisms of T^2 up to isotopy?

Answer : Classical result $\Rightarrow Gl(2, \mathbb{Z})$.

In fact, $Homeo(T^2) \xrightarrow{\phi} Aut(H_1(T^2)) (= Gl(2, \mathbb{Z}))$
 $f \mapsto f_*$

with $ker(\phi) = Homeo_0(T^2) =$ homeomorphism homotopic(isotopic) to $id.$

$\therefore \mathcal{H}(T^2)/\mathcal{H}_0(T^2) \cong Gl(2, \mathbb{Z})$.

Show ϕ is onto ; Given $g \in Gl(2, \mathbb{Z})$, view $g : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ preserving the integral lattice. $\Rightarrow \bar{g} : T^2 \rightarrow T^2$ is induced.

일반적으로 closed surface 에서 "homotopy \Rightarrow isotopy"가 성립하며 $ker(\phi) = Homeo_0(T^2)$ 는 다음 exercise 2(3)을 이용하여 \bar{f} 와 $id.$ 사이에 straight line homotopy를 줄 수 있고, 이것을 T^2 상에서의 homotopy 로 내릴 수 있다.

HW 7 Prove the followings.

명제 1

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\tilde{f}} & \tilde{X}' & \forall \gamma \in \Gamma = \text{deck group of } \tilde{X}, \\ \downarrow p & & \downarrow p & \Leftrightarrow \exists! \gamma' \in \Gamma' \text{ such that} \\ X & \xrightarrow{\exists f} & X' & \tilde{f} \circ \gamma = \gamma' \circ \tilde{f} \end{array}$$

where p is a regular covering.

따름정리 2 (1)

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\tilde{f}} & \tilde{X}' & \Leftrightarrow & C_{\tilde{f}} : \Gamma \xrightarrow{\cong} \Gamma' \\ \downarrow p & & \downarrow p' & & \\ X & \xrightarrow{\exists f} & X' & & \end{array}$$

(2) 위에서 $X = X'$ 인 경우 좌변 $\Leftrightarrow \tilde{f} \in N_G(\Gamma)$, when $G = Aut(\tilde{X})$. (The type of the automorphisms depends on the category.)

(3) Universal covering case의 경우에는

$\tilde{f} \in C_G(\Gamma)$ (= Centralizer) $\Leftrightarrow f_* = id. : \pi_1(X) \rightarrow \pi_1(X)$ when \tilde{f} fixes a base point.

Q. Suppose M_i^3 be a 3-manifold with $\partial M_i = T^2$, $i = 1, 2$.

When is $M_1 \cup_f M_2 \cong M_1 \cup_g M_2$, where $f, g : T^2 \rightarrow T^2$ are homeomorphism?

A. Theorem A \Rightarrow If $g^{-1} \cdot f : T^2 \rightarrow T^2$ can be extended to a homeomorphism: $M_1 \rightarrow M_1$, then $M_1 \cup_f M_2 \cong M_1 \cup_g M_2$.

명제 3 Suppose $M_1 = D^2 \times S^1$, a solid torus. Then $h : \partial M_1 = T^2 \rightarrow T^2$ can be extended to $\bar{h} : M_1 \rightarrow M_1$ iff $h_* : H_1(T^2) = \mathbb{Z} \cdot m \oplus \mathbb{Z} \cdot l \rightarrow H_1(T^2)$ is of the form $\begin{pmatrix} \pm 1 & c \\ 0 & \pm 1 \end{pmatrix}$, equivalently, h sends meridian to meridian.

증명 (\Rightarrow)

$$\begin{array}{ccc} H_1(T^2) = \mathbb{Z} \oplus \mathbb{Z} = \langle m, l \rangle & \xrightarrow{h_* \cong} & \mathbb{Z} \oplus \mathbb{Z} & \begin{array}{ccc} m & \longmapsto & am + bl \\ \downarrow & & \downarrow \\ 0 & \longmapsto & bl \end{array} \\ & \downarrow i_* & \downarrow & \\ H_1(D^2 \times S^1) = \mathbb{Z} = \langle l \rangle & \xrightarrow{\bar{h}_*} & \mathbb{Z} & \end{array}$$

$\Rightarrow b = 0$ and $a = \pm 1$ ($\because h_* : m \mapsto am \cong$)

$\Rightarrow h_* = \begin{pmatrix} \pm 1 & c \\ 0 & d \end{pmatrix}$ and h_* is invertible.

$\Rightarrow h_* = \begin{pmatrix} \pm 1 & c \\ 0 & \pm 1 \end{pmatrix}$ (복호동순 아님)

(\Leftarrow) May assume h is a "linear map" through isotopy in the radial direction.

And it is enough to check for $h = \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$, $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, and $\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$, and each of these is clearly a restriction of homeomorphism of a solid torus. \square

따름정리 4 $M_1 = D^2 \times S^1, \partial M_2 = T^2$ and let $h : \partial M_1 \xrightarrow{\cong} \partial M_2$.

Then the topological type of $M_1 \cup_h M_2$ depends only on $|h_*(m)|$, i.e., if $h_*(m) = \pm h'_*(m)$, then $M_1 \cup_h M_2 \cong M_1 \cup_{h'} M_2$.

증명 Suppose $h, h' : \partial M_1 \xrightarrow{\cong} \partial M_2$ s.t. $h_*(m) = \pm h'_*(m)$. Then $(h^{-1}h')_*(m) = \pm m$. Proposition and Theorem A $\Rightarrow M_1 \cup_h M_2 \cong M_1 \cup_{h'} M_2$. \square

Lens Space

$L(p, q) = D^2 \times S^1 \cup_h D^2 \times S^1$, $h(m) = qm + pl$, where p and q are relatively prime.¹

Note. $L(1, 0) = S^3$
 $L(0, 1) = S^2 \times S^1$
 $L(2, 1) = \mathbb{R}P^2$

숙제 8. (1) $L(p, q) \cong L(p, -q) \cong L(-p, q) \cong L(-p, -q) \cong L(p, q+kp) \quad \forall k \in \mathbb{Z}$
(2) $\pi_1(L(p, q)) = \mathbb{Z}/p$ (Use Van-Kampen Theorem.)

Homology of $L(p, q)$

$$\cdots \longrightarrow H_q(S^1 \times S^1) \xrightarrow{(i_*, h_*)} H_q(D^2 \times S^1) \oplus H_q(D^2 \times S^1) \longrightarrow H_q(L) \longrightarrow \cdots$$

$\Rightarrow H_q(L) = 0$ if $q \geq 4$.

$$0 \rightarrow H_3(L) \rightarrow H_2(S^1 \times S^1) \rightarrow 0 \rightarrow H_2(L) \rightarrow H_1(S^1 \times S^1) \rightarrow \mathbb{Z} \oplus \mathbb{Z} \rightarrow H_1(L) \rightarrow \tilde{H}_0(S^1 \times S^1)$$

, where $h_* = \begin{pmatrix} q & r \\ p & s \end{pmatrix} \Rightarrow$

$$(i_*, h_*) = \begin{pmatrix} 0 & 1 \\ p & s \end{pmatrix} : H_1(S^1 \times S^1) = \mathbb{Z} \oplus \mathbb{Z} \rightarrow H_1(D^2 \times S^1) \oplus H_1(D^2 \times S^1) = \mathbb{Z} \oplus \mathbb{Z}$$

(1) $p \neq 0 \Rightarrow \det \neq 0$ and (i_*, h_*) is injective. \Rightarrow

$$\begin{array}{ccccc} \rightarrow & H_2(L) & \longrightarrow & H_1(S^1 \times S^1) & \rightarrow \\ & & \searrow & \nearrow & \\ & & 0 & & \end{array}$$

$\Rightarrow H_2(L) = 0$ and $H_1(L) = \mathbb{Z} \oplus \mathbb{Z} / \text{im}(i_*, h_*) = \mathbb{Z}/p$

¹Because h_* is homeomorphism, determinant of h_* is ± 1 . Then $qs - rp = \pm 1$, i.e., p and q are relatively prime.

(2) $p = 0 \Rightarrow h(m) = qm \xrightarrow{\text{homomorphism}} q = \pm 1$ (meridian \mapsto meridian)

$$H_2(L) = \ker \begin{pmatrix} 0 & 1 \\ 0 & s \end{pmatrix} = \mathbb{Z} \text{ and } H_1(L) = \text{cok} \begin{pmatrix} 0 & 1 \\ 0 & s \end{pmatrix} = \mathbb{Z}$$

In this case, $L = S^2 \times S^1$.

Remark. (1) $p \neq p' \Rightarrow L(p, q) \not\cong L(p', q')$

(2) Fact. $L(p, q) \cong L(p, q') \Leftrightarrow q' = \pm q^{\pm 1} \pmod{p}$

$L(p, q) \simeq L(p, q') \Leftrightarrow qq' = \pm m^2 \pmod{p}$ for some m

(Ref. M. Cohen. A course in simple homotopy theory)